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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/845,938 US

Filing Date 04/30/01

First Named Inventor Kabanov, Alexander V.

Group Art Unit TBA

Examiner Name TBA

Total Number of Pages in This Submission 3

Attorney Docket Number 3874-29 US

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s)  
(please identify below).

**Acknowledgement return receipt  
postcard**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Brian L. Buckwalter

Signature

*Brian L. Buckwalter*

Date

Oct 9, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/9/01

Typed or printed name

Valea Balfour

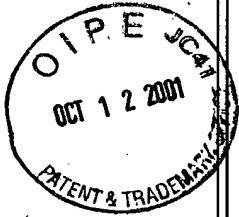
Signature

*Valea Balfour*

Date

10/9/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kabanov, Alexander V. et al.  
Assignee: Supratek Pharma Inc.  
Title: COMPOSITIONS AND METHODS FOR INDUCING ACTIVATION OF DENDRITIC CELLS  
Serial No.: 09/845,938 Filed: 04/30/01  
Examiner: TBA Group Art Unit: TBA  
Docket No.: 3874-129 US

October 8, 2001

BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 7, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

An executed Declaration bearing the signature of each applicant/inventor respectively

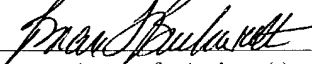
A check in the amount of \$65.00 is enclosed associated with the items listed below:

- |    |   |         |
|----|---|---------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$65.00 |
| 2. | TOTAL FEES:   | \$65.00 |

In addition, applicant is hereby enclosing a marked-up copy of the filing receipt requesting an update of the official filing receipt.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2165.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of April 30, 2001. Please telephone the undersigned at (609) 924-8555, if there are any questions.

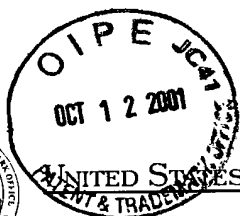
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20234, on Oct 9 18 2001  
  
Attorney for Applicant(s)      Oct 9, 2001  
Date of Signature

Respectfully submitted,

Brian L. Buckwalter



Attorney for Applicants  
Reg. No. 46,585



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,938	04/30/2001	Alexander V. Kabanov	3874-129 US

CONFIRMATION NO. 3307

## FORMALITIES LETTER



\*OC000000006523488\*

26817  
MATHEWS, COLLINS, SHEPHERD & GOULD, P.A.  
100 THANET CIRCLE, SUITE 306  
PRINCETON, NJ 08540-3674

Date Mailed 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/16/2001 SHINASSI 00000056 09845938

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE